

Contractor Assessment Request Details

| | |
|----------------------------|------------------------|
| Assessment Type | New |
| Vendor | |
| Contractor | SHRIVASTAVA ASSOCIATES |
| Contractor Location | ETL Pantnagar |
| Contractor Email ID | SHRIVASTAVA ASSOCIATES |
| Plant Code | 1126 |

Preliminary Details For Evaluation

| | |
|--|---|
| Contractor Type | Civil Fabrication |
| Name of Company / Contractor | SHRIVASTAVA ASSOCIATES |
| Contact Person Name | VINOD KUMAR |
| Contact Number | 9761278815 |
| Work Description | CIVIL CONTRACTOR & FABRICATION |
| Previous ETL Contracts | NEW REGISTRATION |
| Existing Clientele | MAXIMA SOLUTIONS |
| Organizational Chart | 1013_OrganizationalChart_.pdf |
| Employees Head Count | 20 |
| Employees Deployed Count at ETL | 10 |
| Supervisors Count | 2 |
| Is Sub Contractor Nominated ? | No |
| Sub Contractor | |
| Contractors Financial Health & Capability (Turnover in INR) | 35000000 |
| C A Certificate | 1013_CACertificate_.pdf |
| Does your last two years balance sheet shows profit? | Yes |
| Balance Sheet | 1013_BalanceSheet_.pdf |
| Do you have at least 3 years of experience for carrying out similar activity? | Yes |
| Experience Certificate | 1013_ExperienceCertificate_.pdf |

Section 1 - Mandatory Requirement

| Sr.No | Requirement Text | Options | License / Registration No. / Policy No. | Attachment | Valid Till | Score |
|-------|---|----------|---|---------------------------------------|------------|-------|
| 1 | Number of employees authorized to deploy as per license | Complied | RDR-3908 | 1013_12_section1_.pdf | 31.03.2028 | 5 |

| | | | | | | |
|----|--|----------------|--|---------------------------------------|------------|---|
| 2 | Is the organization registered for EPF? | Complied | UKHLD1026388000 | 1013_13_section1_.pdf | 01.12.2026 | 5 |
| 3 | Is the organization registered for ESIC? | Complied | 61000409860000999 | 1013_14_section1_.pdf | 01.12.2026 | 5 |
| 4 | Is the organization registered for GSTN? | Complied | 05CIXPK3329P1ZG | 1013_15_section1_.pdf | 01.12.2026 | 5 |
| 5 | Workmen compensation Policy / Group Insurance | Complied | 5190014467 | 1013_16_section1_.pdf | 06.07.2023 | 5 |
| 6 | Are workers working hours complied with the current applicable law in the country? | Complied | YES WE COMPLIED FOR WORKING HOURS WITH CURRENT APP | 1013_17_section1_.pdf | 01.12.2026 | 5 |
| 7 | Licensed Electrical Supervisor / Electrician If Applicable (For Electrical Work) | Not Applicable | WE DO NOT ANY Electrical MAINTENENCE WORK | | | 5 |
| 8 | License under the Factory Act.1948 / Contract Labor Act / Shop Act | Complied | RDR-3908 | 1013_11_section1_.pdf | 31.03.2028 | 5 |
| 9 | Are wages paid on the basis of Minimum Wages Act? | Complied | YES WE DO FOLLOW MINIMUM WEDGES ACT F | 1013_18_section1_.pdf | 01.12.2026 | 5 |
| 10 | Any complaint registered against the contractor in past under Labour laws | Complied | NO ANY COMPLAINT REGISTERED | 1013_20_section1_.pdf | 01.12.2026 | 5 |

**Total -
50**

Section 2 - Safety Performance

| Sr.No | Requirement Text | Answer | Details | Attachment | Score |
|-------|--|--------|--------------------------|------------|-------|
| 1 | No. of Accidents (Medical Treatment) in last 2 years | 0 | No any accident reported | | 5 |
| 2 | No. of Fatalities (Verify from Govt. data / reference check) | 0 | No any fatality reported | | 5 |
| 3 | No. of Lost time injuries as per Factories Act 1948 (Injured person not able to work more than 48 hours from time of accident) | 0 | No any injuries reported | | 5 |
| 4 | No. of Fire Incident in last 2 years | 0 | No any fire incidents | | 5 |
| 5 | Has the organisation been prosecuted for an HSE breach or injury to an employee? Specify nos. | 0 | No | | 5 |

**Total -
25**

Section 3 - General EHS Systems

| Sr.No | Requirement Text | Answer | Details | Attachment | Score |
|-------|---|--------|--|------------|-------|
| 1 | Induction and Training (provide relevant documents) | Yes | Yes we don classroom & on job training | | 5 |
| 2 | HSE Policy (Provide evidence by supplying copies of relevant documents) | No | We will follow ETL safety policy at site | | 0 |
| 3 | PPE's maintained in Good Condition | Yes | Yes we do maintain PPE's in good condition, on any observations same are been replaced with new | | 5 |
| 4 | Health monitoring of Employees - Medical Check Up Done for employee's as per Form 7 (date / Fitness Certificate from AFIH doctor) | No | As we are micro level MSME so we do not have periodic medical check-up policy, on case-to-case basis medical check up proposed | | 0 |

| | | | | | |
|---|---|-----|---|--|---|
| 5 | Does the organization regularly inspect and maintain hand tools, machinery (cranes, vehicles, hoists/lifting tools, etc.) | Yes | Yes, we do inspect & maintain hand tools & machineries periodically | | 5 |
|---|---|-----|---|--|---|

**Total -
15**

Section 4 - EHS Practices

| Sr.No | Requirement Text | Answer | Details | Attachment | Score |
|-------|---|--------|--|------------|-------|
| 1 | Is the contract employee aware of Work Permit System / Work Permit Adherence? | Yes | Work permit is a document or written permit that is used to control certain types of work that could potentially endanger workers. | | 5 |
| 2 | Is the organization aware of Method Statement/ Method Statement Adherence? | Yes | A method statement as its name implies is a step wise statement or method described to perform a certain activity or operation | | 5 |
| 3 | Are the contract employees aware of Emergency Response Procedure? | Yes | An emergency response procedure is the plan of actions that are to be taken in the event of an emergency | | 5 |
| 4 | Has the organization conducted a HIRA study in past / or as a part of current practice? | No | | | 0 |
| 5 | Does the organization have and follow safe work procedures, documents which define how risks relevant to the contracted activities are managed? | Yes | Yes we do follow safe work procedure | | 5 |

**Total -
20**

Section 5 - Plant and Equipment

| Sr.No | Requirement Text | Answer | Details | Attachment | Score |
|-------|--|--------|--|------------|-------|
| 1 | Are the tools and equipment used in good working condition? | Yes | Yes, we do have all our tools & equipment in good working condition | | 5 |
| 2 | Do the tools and equipment comply with standards such as ISI Mark / Energy Efficient 5 star rating guidelines? | Yes | Yes, all our tools & equipment have standard like ISI mark | | 5 |
| 3 | Is the holding handle of equipment in good condition? | Yes | Yes, we keep all machineries & tools holding handle in good condition and keep replacing if observed damaged | | 5 |
| 4 | Are all plants and equipment compliant with the safety requirements? (including not damaged cables, no loose connections, equipped with 3 pin top) | Yes | Yes, we do care about current leakages so never allow any cut or jointed wire during work progress | | 5 |
| 5 | Do contract employees have correct licenses / certificates to operate the plants and equipment? | Yes | Yes our skilled labor is certified for skill-based work like welding | | 5 |

**Total -
25**

Total Score

| Section | Score |
|---------|-------|
|---------|-------|

| | |
|---|------------|
| Section 1 Mandatory Requirement | 50 |
| Section 2 Safety Performance & System Awareness | 25 |
| Section 3 General EHS Systems | 15 |
| Section 4 EHS Practices | 20 |
| Section 5 Plant and Equipment | 25 |
| Total Score | 135 |

The overall ranking of pre-qualification is 90 % (Professional)

Approval Process History

| Role | Employee | Request Status | Comments | Updated On |
|-----------------|-------------------------|----------------|------------------------|---------------------|
| Department Head | 855846 - Mukesh Kumar . | Approved | ok approved | Apr 12 2023 9:16AM |
| EHS | 855833 - Mahesh Joshi | Resend | Correct Min Wage sheet | Apr 12 2023 9:24AM |
| EHS | 855833 - Mahesh Joshi | Approved | OK | Apr 12 2023 9:32AM |
| HR | 841260 - Lalit Joshi | Approved | Okay | Apr 12 2023 5:14PM |
| CorporateEHS | 400326 - Sunil Sonar | Approved | OK Approved | Apr 12 2023 5:48PM |
| HeadIR | 855987 - Kalyan Pawar | Approved | Approved | Apr 13 2023 10:48AM |